



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

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ITC 2800 MAIL ROOM

Application of:

Group Art Unit: Unknown

GERALD R. KOEFELDA et al.

Examiner: Unknown

Serial No.: 10/019,519

Filed: December 27, 2001

For: LOW-DEPTH NESTABLE TRAY FOR FLUID  
CONTAINERS

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MAY 29 2002  
TECHNOLOGY CENTER R3

Attorney Docket No.: RPC 0462 PUSA

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)(3)**

Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Form PTO/SB08A and B are being submitted herewith for consideration by the Examiner before the mailing of a first Office Action.

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the reference(s) are "material" as defined under 37 C.F.R. § 1.56(b).

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231 on:

May 8, 2002  
Date of Deposit

Stephanie M. Mansfield  
Name of Person Signing

*Stephanie M. Mansfield*  
Signature

A copy of each reference listed on the attached Form PTO/SB08A and B is included herewith. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

**GERALD R. KOEFELDA et al.**

By: Stephanie M. Mansfield  
Stephanie M. Mansfield  
Reg. No. 43,773  
Attorney/Agent for Applicant

Date: May 8, 2002

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/019,519
				Filing Date	December 27, 2001
				First Named Inventor	Gerald R. Koefeldt
				Group Art Unit	Unknown
Examiner Name	Unknown	<b>RECEIVED</b> <b>MAY 29 2002</b>			
Attorney Docket Number	RPC 0462 PUSA				
Sheet	1	of	6		

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. PATENT DOCUMENT		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		Des.147,981		Lehman	11-25-1947	
		Des.152,907		Richards	3-1-1949	
		Des.195,702		Russo	7-26-1963	
		Des.201,257		Vidal	6-1-1965	
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		Des.209,864		Versteeg	1-9-1968	
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		Des.295,107		Frost	4-5-1988	
		Des.304,123		Warwick	10-17-1989	
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		Des.317,670		Apps	6-18-1991	
		Des.318,552		Apps	7-23-1991	
		Des.319,129		Apps et al.	8-13-1991	
		Des.320,298		Apps et al.	9-24-1991	
		Des.325,279		Apps	4-7-1992	
		Des.326,749		Apps et al.	6-2-1992	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
			WO 82/01536		Bolin	5-13-1982		
			WO 98/07636		Kristoffersson	2-26-1998		
			WO 00/41937		Umiker	7-20-2000		
			GB 943,947		Mauser et al.	12-11-1963		
			NE 6,505,562		Wiva N.V.	10-31-1966		
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			CA 965,056		Bunnell	3-25-1975		
			EP 1 008 527 A1		Steenbergen	6-14-2000		

Examiner Signature		Date Considered	
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Substitute for Form 1449A/PTO

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(use as many sheets as necessary)

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Sheet

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		Number	Kind Code <sup>2</sup> (if known)			
		Des. 327,357		Rehrig	6-23-1992	
		Des. 327,972		Apps et al.	7-14-1992	
		Des. 329,931		Apps	9-29-1992	
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		Des. 360,758		Umiker	8-1-1995	
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		Des. 399,061		Apps et al.	10-6-1998	
		Des. 400,012		Apps	10-27-1998	
		Des. 404,204		Apps	1-19-1999	
		Des. 410,778		Apps et al.	6-8-1999	
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		Des. 417,784		Umiker	12-21-1999	
		Des. 420,220		Apps et al.	2-8-2000	
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		2,411,673		Vechey, Jr.	11-26-1946	
		2,512,855		Erickson	6-27-1950	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
			EP 0 099 827		Cognolato	10-1-1986	
			EP 0 210 712		Warwick	8-29-1990	
			GB 1,032,916		General Colluloid	6-15-1966	
			CA 1,109,433		Box	9-22-81	
			GB 1,115,343		Fuscone	5-29-1968	
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				Group Art Unit	Unknown	
				Examiner Name	Unknown	
Sheet	3	of	6	Attorney Docket Number		RPC 0462 PUSA

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		2,526,335		Deichert	10-17-1950	
		2,530,481		Rawn, Jr.	11-21-1950	
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		3,247,996		Garcia	4-26-1966	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
			GB 1,312,701		Fain S.p.A.	4-4-1973		
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			FR 1,518,610		Wiva N.V.	2-19-1968		
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			GB 2 135 278 A		Haines	8-30-1984		
			GB 2,158,044 A		Warwick	11-6-1985		

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Examiner Name	Unknown
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		3,297,190		Cloyd	1-10-1967	
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			FR 2,302,244		LaFarge-Emballage	9-24-1976	

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				First Named Inventor	Gerald R. Koefel
				Group Art Unit	Unknown
Examiner Name	Unknown				
Sheet	5	of	6	Attorney Docket Number	RPC 0462 PUSA

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		4,040,517		Torokvei	8-9-1977	
		4,071,162		Steinlein et al.	1-31-1978	
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		4,101,049		Wallace et al.	7-18-1978	
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		4,538,742		Prodel	9-3-1985	
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		4,773,554		Warwick	9-27-1988	
		4,789,063		Hammett	12-6-1988	
		4,848,580		Wise	7-18-1989	
		4,899,874		Apps et al.	2-13-1990	
		4,911,303		Anderson	3-27-1990	
		4,928,841		Arthurs	5-29-1990	
		4,932,532		Apps et al.	6-12-1990	
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		5,031,774		Morris et al.	7-16-1991	

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		5,071,026		Apps	12-10-1991	
		5,096,085		Eek et al.	3-17-1992	
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		5,184,748		Apps	2-9-1993	
		5,305,884		Apps et al.	4-26-1994	
		5,316,172		Apps et al.	5-31-1994	
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		5,501,352		Apps	3-26-1996	
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		5,979,654		Apps	11-9-1999	
		6,006,912		McGrath	12-28-1999	
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		D103,862		Randall et al.	3-30-1937	
		D172,664		Emery	7-20-1954	
		D189,891		Schiling	3-14-1961	
		D229,674		Quigg	12-25-1973	
		D283,103		Chusing et al.	3-25-1986	
		D350,438		Apps et al.	9-13-1994	
		D378,249		Apps et al.	3-4-1997	

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				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	RPC 0462 PUSA
<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
		Exhibit 1: Four photos of a prior art case of Rehrig Pacific Company, Model No. PLBC-8-2L-PET-QD (1984)			
		Exhibit 2: Two photos of a prior art case of Rehrig Pacific Company for 3 liter PET bottles (1990)			
		Exhibit 3: Two photos of a prior art case of D.W. Plastics (Date unknown)			
		Exhibit 4: Two photos of a prior art case of International Container Systems, Inc. for 3 liter PET bottles (Date unknown)			

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.